



# Preparation of semi-conducting polymer colloids in aqueous dispersion for electrically active coatings

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University of Massachusetts Lowell  
Toxics Use Reduction Institute

Academic Research Program

# Preparation of Semi-conducting Polymer Colloids in Aqueous Dispersion for Electrically Active Coatings

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# Academic Research Program

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## ABSTRACT

This report outlines the characterization of poly(3-hexylthiophene) (P3HT) colloids dispersed in aqueous solutions created using a mini-emulsion technique. These colloidal dispersions could be used for coating process to manufacture active layers for electrical devices. Contrast to its counterpart of P3HT solution directly dissolved in organic solvents, these colloidal dispersions are totally environmentally friendly, as only water vapor released into the atmosphere during the coating process of colloidal dispersion, whereas, toxic solvent released into the atmosphere during the coating process of P3HT solution. Subsequently, a blade coating procedure was used to produce films from these colloidal dispersions with varying processing parameters, and the crystallinity development as well as optical absorbance properties of the films coated from these colloids was investigated. The results showed that these colloids were stable for several weeks against aggregation and the size and distribution of these colloids could be tuned by controlling the initial solution concentration and surfactants as well as processing parameters. Additionally, coating parameters such as blade speed and height as well as surface energy have influence on the final properties of films.

## 1. INTRODUCTION

Semiconducting polymers such as poly(3-hexylthiophene) (P3HT) have many potential applications in the field of organic semiconductors including use in solar cells, field-effect transistors and organic light-emitting diodes. This is associated with their beneficial properties such as light weight, flexibility, low-cost solution processing, inexpensive materials and versatile fabrication [1-5]. For this reason, a great deal of research on semiconducting polymer materials has been carried out, particularly on the optical and electronic properties of semiconducting polymers and the electronic devices in which they are used [6, 7]. However, in-depth study of the processing techniques associated with creating these semiconductors has lagged behind.

Currently, the most widely used method to produce high performance electronic devices from semiconducting polymers is solution processing using a spin coating technique [8-11]. One clear disadvantage of this method is the volatilization of the toxic organic solvents such as toluene, chlorobenzene and chloroform that are commonly used. The health and safety profiles of the most commonly used solvents are listed in Table 1, along with a common surfactant, sodium dodecyl sulfate (SDS) which is utilized in the dispersion processing method presented here. This research looks at the possibility of using small amounts of SDS in water rather than any of the organic solvents for the processing of semiconducting polymers. The second disadvantage of the common processing technique, spin coating, is that this batch process typically results in significant materials waste and is incompatible with scale up to roll-to-roll methods for industrial applications [8, 12]. Finally, the electronic properties of conjugated polymers rely on the crystallization and nanoscale morphology of the film. The kinetics of drying when casting from organic solvents may not match well with the kinetics of morphology development, leading to non-ideal film morphologies.

**Table 1. Health and safety data for commonly used solvents in polymer electronics.**

Chemical	NFPA Designations			Water solubility [g/L]	OSHA PEL [ppm]	NIOSH IDHL [ppm]	LD50 Oral <sup>2</sup> [mg/kg]
	Flammability	Health	Reactivity				
Toluene	3	2	0	0.5 g/L	200	500	5580
Chlorobenzene	3	3	0	0.2 g/L	75	1000	1110
Chloroform	2	0	0	8 g/L	50	500	695
SDS	2	0	0	100 g/L	--	--	1288

Preparation of aqueous colloidal dispersions of semiconducting polymers provides an attractive alternative for fabricating electronic devices, as it presents a greener process with only water vapor evolved during the coating procedure [9, 13-19]. The chlorinated aromatic solvents that are typically used in coating procedures can be minimized during the colloidal aggregation process. Not only would it be preferable from an environmental standpoint to use more benign solvents, but the stability of the two components in the current casting solvents is poorly understood, which leads to problems with reproducibility and quality control. Aqueous based colloidal dispersions could also improve manufacturability because the polymer aggregation would occur independently from film deposition.

The doctor blading process works by sweeping a sharp edge over a fixed substrate, pushing a pool of coating solution ahead of it and leaving a thin film after the blade. The speed of blade, gap between blade and substrate surface and processing temperature can be adjusted corresponding to specific solution to optimize the film thickness and quality. Coating using a doctor blade technique is a good substitute for spin coating, as it provides much less loss of coating solution and is more compatible with production scale roll-to-roll methods [8].

In this research, P3HT colloidal dispersions were first prepared using a mini-emulsion technique. Subsequently, high quality films from these colloids were created using blade coating. The morphology, optical properties as well as crystal structures of P3HT colloids and its films were investigated.

## **2. EXPERIMENTAL METHODS**

### **2.1 Materials**

Poly(3-hexylthiophene) (P3HT) was purchased from Rieke Metals and used as received. Sodium dodecyl sulfate (SDS) and sodium dodecyl benzene sulfonate (SDBS), and all solvents (anhydrous, reagent grade) were purchased from Sigma Aldrich and used as received.

### **2.2 Preparation of P3HT colloidal dispersions**

Initially, surfactant solution was prepared in a vial by dissolving surfactant in 2.5 mL deionized water. The final concentration of surfactant in deionized water was selected as the critical micelle concentration (CMC), except when investigating the effect of surfactant amount on particle size distribution. The CMCs of SDS and SDBS in deionized water are 8.0 and 1.9 mM,

respectively. A prescribed amount of P3HT was dissolved in chloroform or other solvent for 12 h before usage. P3HT solution concentrations ranged from 0.3 wt% to 5 wt%. Subsequently, a certain volume of P3HT solution was injected into surfactant solution and this mixture was sonicated using a probe sonicator with a power of 200 W. The mixture was then stirred in a water bath at 60 °C for 20 minutes to remove solvent. The final concentration of P3HT colloids in dispersions was determined by the initial concentration in solution and volume ratio of P3HT solution to surfactant solution. The final P3HT dispersion concentration ranged from 0.022 wt% to 0.75 wt%.

### **2.3 Characterization of colloids and films**

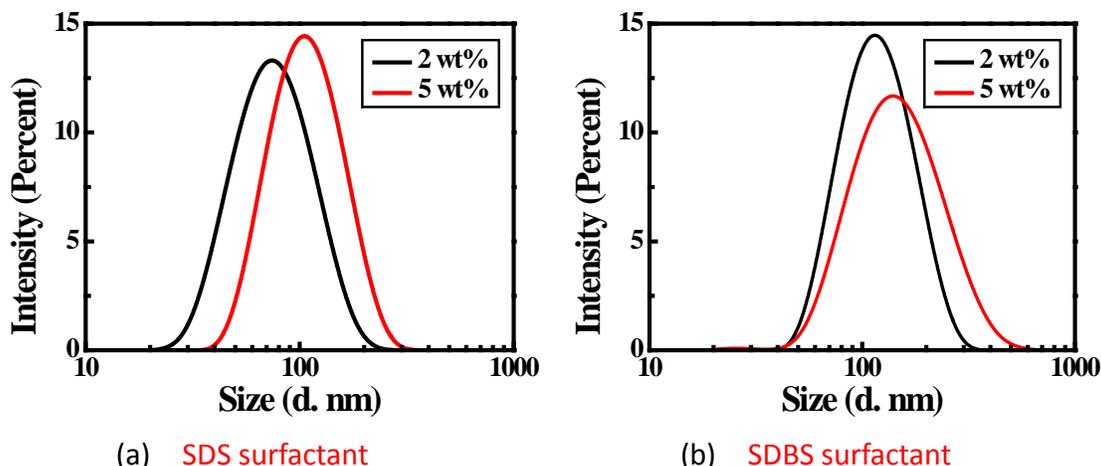
The particle size of colloids was measured using dynamic light scattering (DLS). Transmission electron microscopy (TEM) and scanning electron microscopy (SEM) were performed on dried films of the dispersion with 0.3 wt% P3HT. The morphology and thickness of films were investigated using a Veeco atomic force microscope (AFM) and optical profilometry. The crystal structure and optical properties of both colloids and films were measured using a Scintag Pad X-ray diffractometer and a Perkin Elmer UV-visible spectrometer.

## **3. RESULTS AND DISCUSSION**

### **3.1 Particle Size of P3HT Colloids**

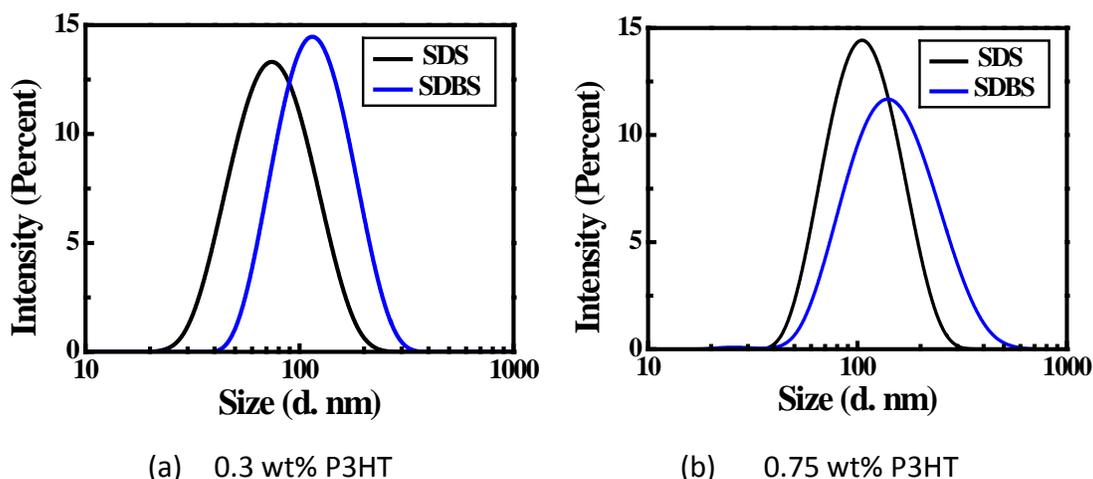
The colloidal particle size can be tuned by controlling the initial solution concentration, type of solvents and surfactants as well as processing parameters. From the perspective of final electronic properties, colloids with diameter around 20 nm would be best for exciton diffusion and charge transfer. From the manufacturing perspective, such small diameter and uniform size colloids could only be produced by decreasing the concentration. The low solids content would make formation of a continuous film difficult. Hence, there is a tradeoff between particle size and concentration for film formation. To our best knowledge, devices manufactured from colloids with a diameter of up to hundreds nanometers still showed good photoelectric performance. [16, 19] Thus, as long as colloids were kept within a suitable concentration range for processing, diameters with several hundred nanometers were acceptable. Future efforts will focus on decreasing particle size while maintaining continuous film formation. Figure 1 shows the size distribution of P3HT colloids obtained from P3HT/chloroform solution with various initial P3HT concentrations, but identical surfactant concentration. It can be seen from Figure 1

that larger colloids result from higher initial concentration. This is likely because of the limited surfactant availability for stabilizing the interface.



**Figure 1. Effect of P3HT initial concentration on size distribution of colloids in dispersion with a) SDS and b) SDBS as surfactant.**

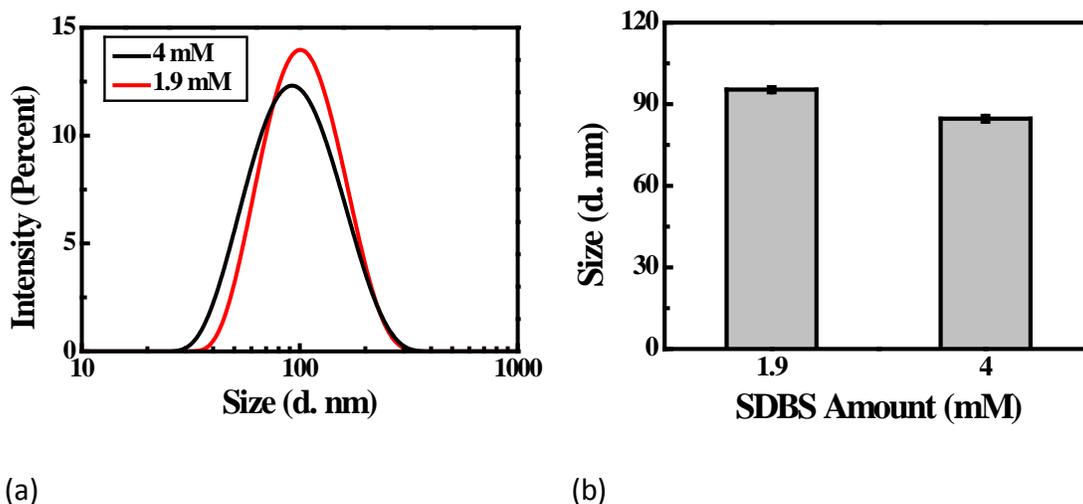
Figure 2 shows the effect of surfactant type on the size distribution of P3HT colloids. It can be seen that, at the same P3HT concentration, dispersions with SDS as surfactant produces smaller colloids. The size differential may be due to the larger molecular size of the SDBS surfactant, or it could be related to different solubility characteristics.



**Figure 2. Effect of surfactant type on size distribution of colloids with a) 0.3 wt% and b) 0.75 wt% P3HT in dispersion.**

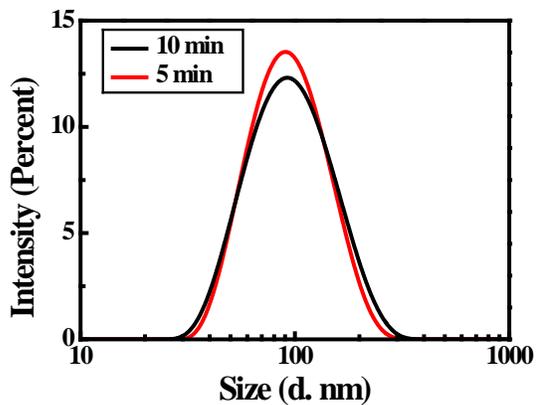
Figure 3 shows the effect of surfactant amount (SDBS) on the size distribution of P3HT colloids prepared from P3HT/toluene solution. Colloids with higher amount of SDBS have smaller particle sizes because more surfactant provides dense surface coverage and high

surface-to-volume ratio for droplets, resulting in smaller particle size at a fixed polymer concentration. Some researchers [1, 20] pointed out that the particles would reach an equilibrium diameter in high surfactant concentration. Because the observed change is so minor, it is likely that we are near that limit in our surfactant concentration.

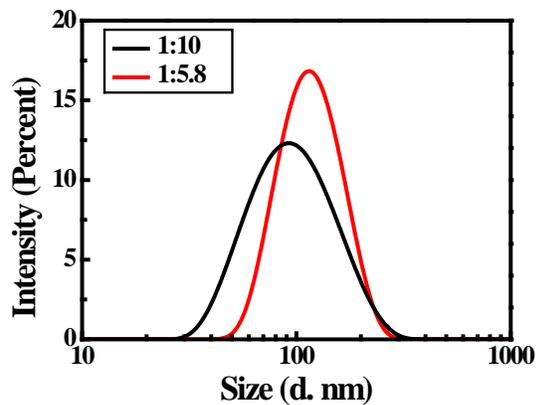


**Figure 3. Effect of SDBS amount on a) size distribution of colloids and b) average size with 0.87 wt% P3HT dispersed from toluene.**

Figure 4a shows the effect of sonication time on the size distribution of P3HT colloids prepared from P3HT/toluene solution. Both 5 min and 10 min were employed to prepare colloids, and both times produce similar size distribution. Although sonication time does have a significant effect on particle size and size distribution at earlier times, in this system, 5 min is enough to reach a balance between droplet breakup and coalescence, resulting in well dispersed colloids, and more time does not further enhance the dispersion process. Figure 4b shows the effect of volume ratio (polymer solution:aqueous solution volume ratio) on the size distribution of P3HT colloids prepared from P3HT/toluene solution. The higher volume ratio produces larger particle sizes. The effect is similar to the polymer concentration effect, because higher volume ratio yields a more dense population of polymer droplets in aqueous solution and the limitation becomes the amount of surfactant available to stabilize the interface.

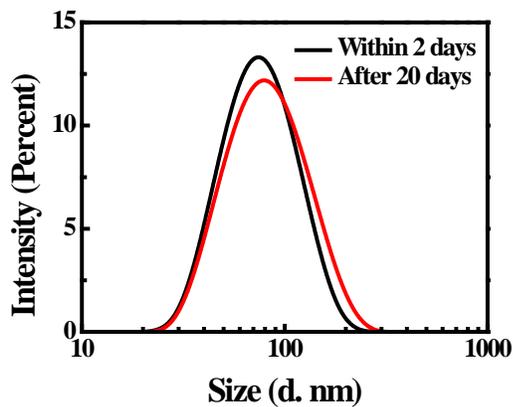


(a)

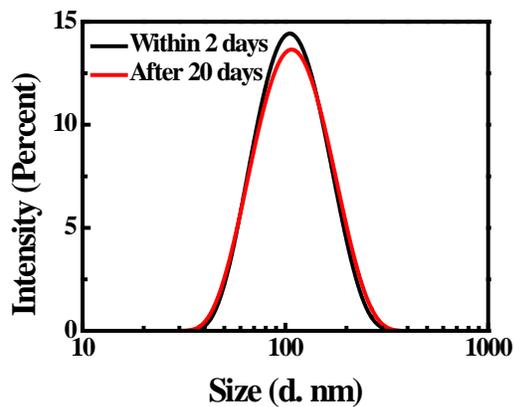


(b)

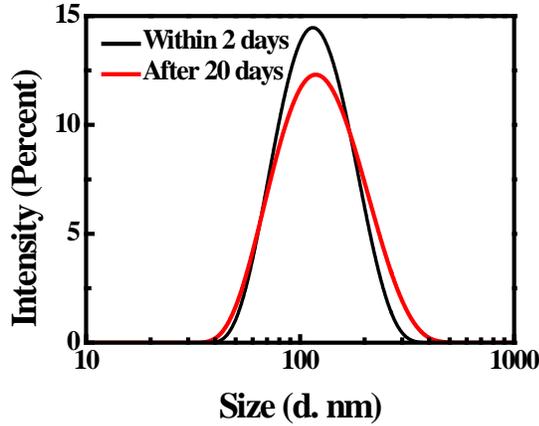
Figure 4. Effect of a) sonication time and b) volume ratio on size distribution of colloids in dispersion with initial P3HT concentration of 10 wt%.



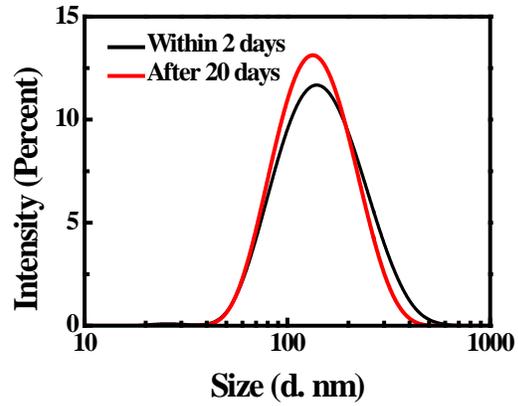
(a)



(b)



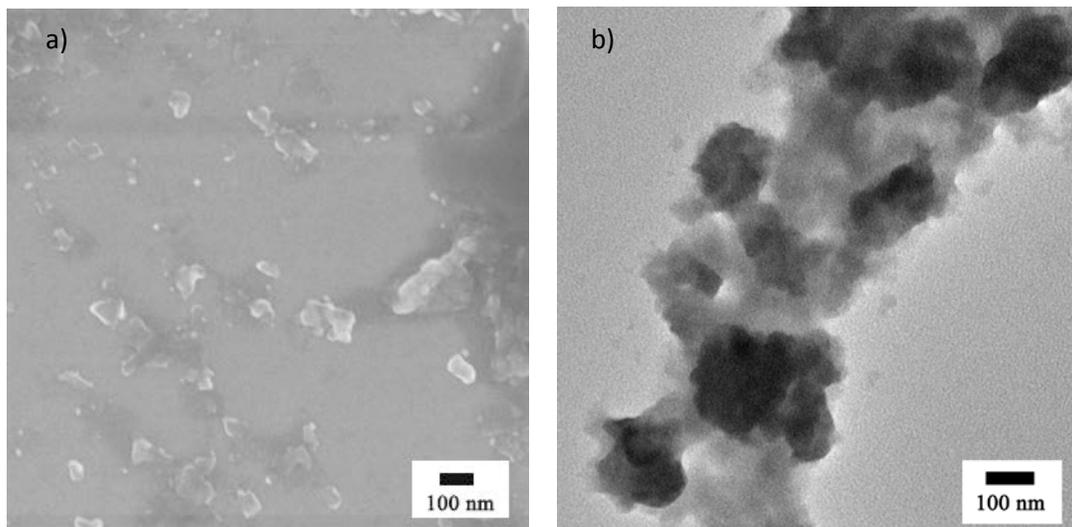
(c)



(d)

**Figure 5. Effect of aging on size distribution of colloids in dispersion with SDS as surfactant and P3HT concentration of a) 0.3 wt% and b) 0.75 wt%; and dispersion with SDBS as surfactant and P3HT concentration of c) 0.3 wt% and d) 0.75 wt%.**

P3HT colloids synthesized using this method are stable for several days, as shown in Figure 5. Only a little coalescence occurred in dispersions made from the two P3HT concentrations after twenty days.



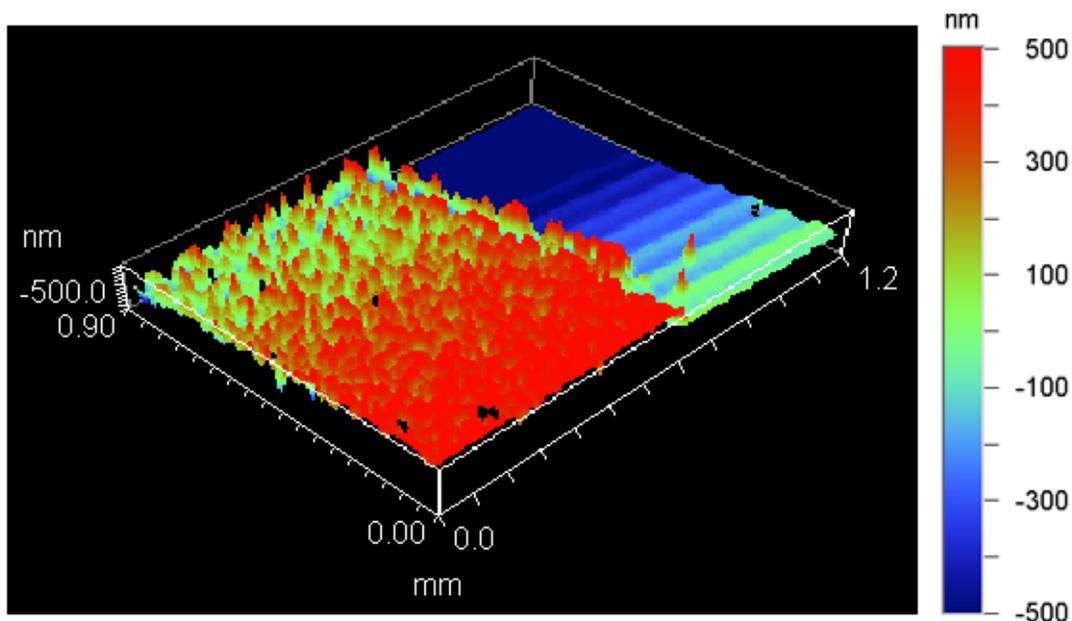
**Figure 6. a) SEM and b) TEM Images of Colloids with P3HT Concentration of 0.3 wt%.**

Figure 6 shows SEM and TEM images of P3HT colloids prepared from samples with P3HT concentration of 0.3 wt%. These images indicate that the primary particle size of P3HT colloids

is approximately 100 nm and that, once dried, excess surfactant creates a network that binds particles together.

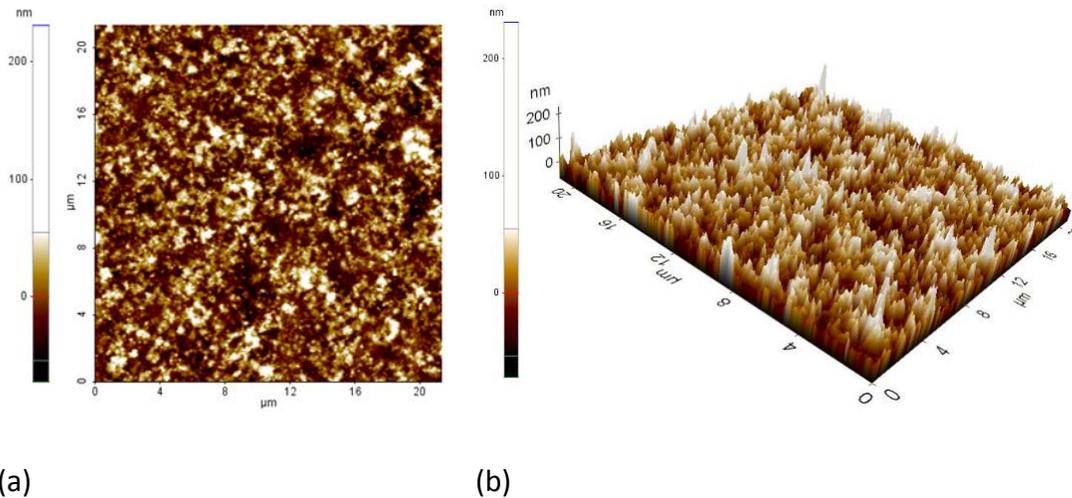
### 3.2 Film Thickness and Morphology of Films Coated from Colloids

Films coated from P3HT colloids using a doctor blade coater can be varied from tens of nanometers to microns depending on the processing parameters, such as coating speed, number of layers, viscosity and particle size of colloids. Figure 7 shows the thickness of film coating from 0.75 wt% P3HT dispersion on a PEDOT:PSS sublayer, as measured by optical profilometer. PEDOT:PSS is a conductive polymer used as a hole transport layer under polymer solar cell active layers. The sub-layer can significantly change the surface energy of the substrate leading to different film morphology. Data collected from Figure 7 shows that this film has an average thickness of 630 nm.



**Figure 7. Optical profilometry images of film with P3HT colloidal layer coated on PEDOT:PSS.**

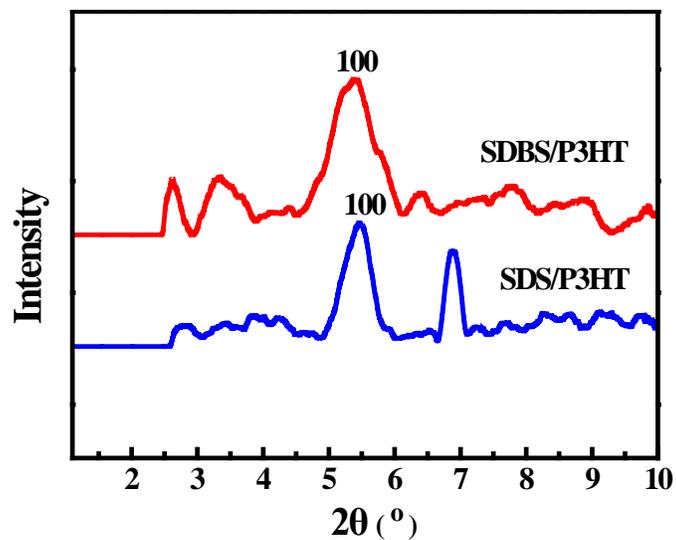
Figure 8 shows the AFM images of the same film as shown in Figure 7. The average surface roughness of this film is observed to be 22.4 nm and the root-mean-squared roughness is 27.8 nm. For the purpose of producing active layers this variation is acceptable, but smoother films may be attained by post-process annealing or addition of coalescing agents.



**Figure 8. AFM topography images a) 2D and b) 3D of film with P3HT colloidal layer coated on PEDOT: PSS.**

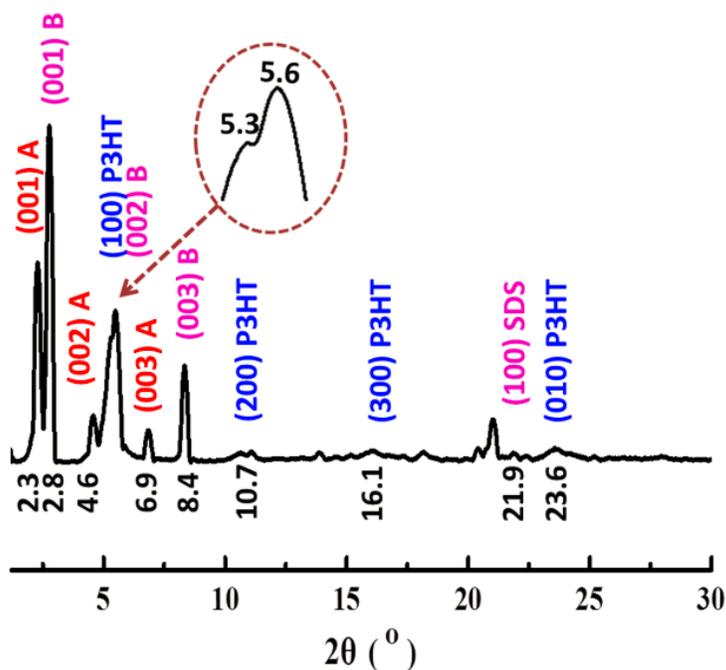
### 3.3 Crystal Structure of Films

Wide angle X-ray diffraction (WAXRD) was used to deduce the crystal structure of both colloids and films. Figure 9 shows the WAXRD curves of P3HT colloidal dispersions with both SDBS and SDS as surfactant. Peaks are observed from both P3HT and surfactant (as identified in the discussion below) indicating that P3HT colloids are crystalline. The diffraction signal is rather weak in the colloidal dispersions compared with the films because of the low concentration of polymer in the water.



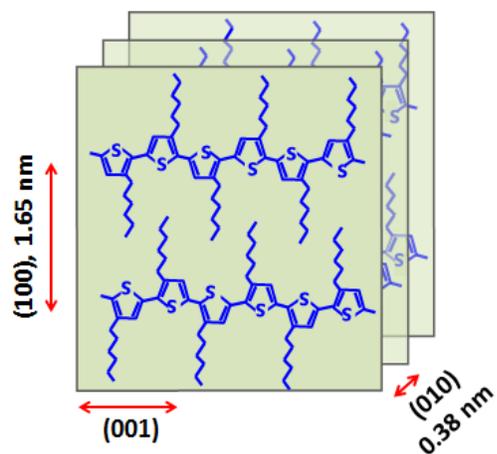
**Figure 9. WAXRD curves of colloidal dispersions.**

Figure 10 shows a typical WAXRD curve of a cast film prepared from P3HT/SDS dispersion, and both P3HT and SDS crystal peaks have been identified. P3HT exhibits four reflection peaks at  $2\theta = 5.3^\circ, 10.7^\circ, 16.1^\circ$  and  $23.6^\circ$ , indicating the formation of orthorhombic crystals and the diffraction from (100), (200), (300) and (010) planes, respectively. The lattice parameters of P3HT crystals can be calculated from the WAXRD curve using Bragg's Law, and the distances are indicated in Figure 11a. From Figure 10 it can also be seen that SDS has two types of crystal lattices (type A and B) with different lattice distances. The lattice distance of each type for SDS is calculated and shown in Figure 11b and Figure 11c.

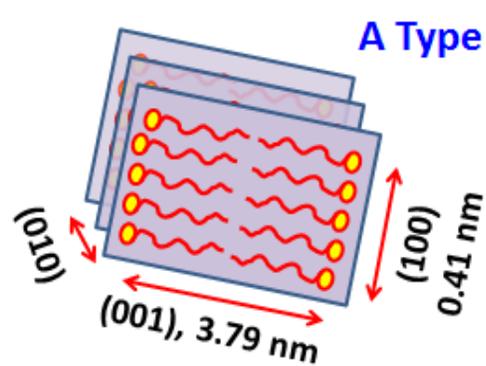


**Figure 10. WAXRD curve of film prepared from P3HT/SDS dispersion.**

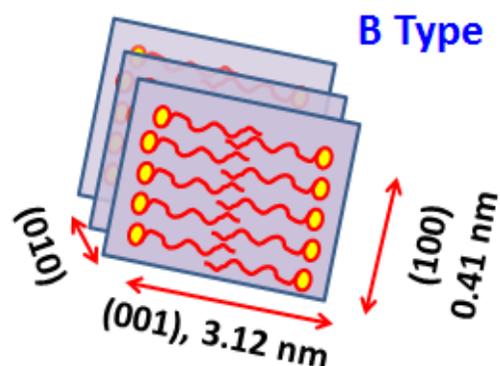
The crystallographic structures of P3HT and SDS are presented in Figure 11. As shown in Figure 11a, the P3HT backbone spacing forms the (100) plane,  $\pi$ - $\pi$  stacking direction is along the (010) direction, and the monomer spacing along the chain backbone is the (001) direction [21]. The lattice spacings along the (100) and the (010) directions are 1.65 nm and 0.38 nm, respectively. As shown in Figure 11b and 11c, type A and type B crystals have different spacing in the (001) direction, 3.79 and 3.12 nm, respectively.



(a)



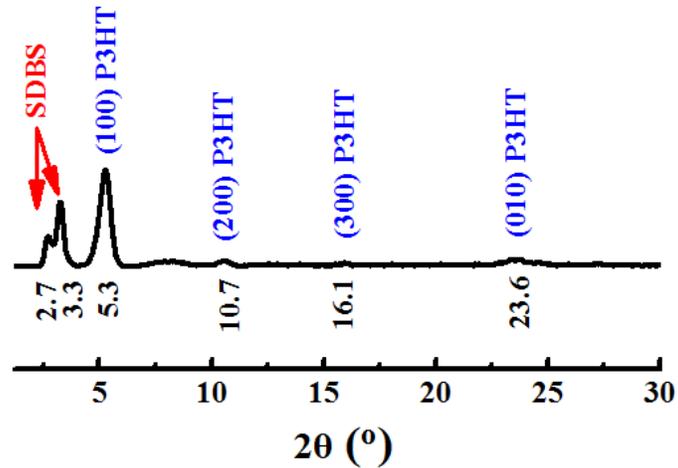
(b)



(c)

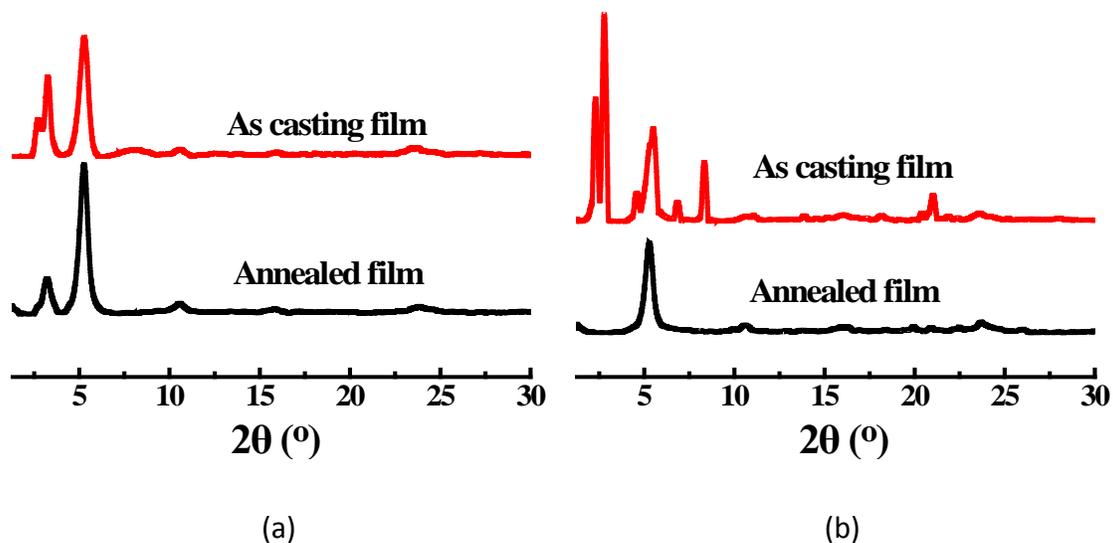
**Figure 11. Schematics of the crystallographic structures of a) P3HT, b) SDS A , c) SDS B Type.**

A typical WAXRD curve of a casting film prepared from P3HT/SDBS dispersion is presented in Figure 12. P3HT exhibits (100), (200), (300) and (010) planes just as in the SDS film. The SDBS also has crystal peaks at  $2\theta = 2.7^\circ$  and  $3.3^\circ$ .



**Figure 12. WAXD Curve of Film Prepared from P3HT/SDBS Dispersion.**

The effect of annealing at 150 °C on the crystallinity of the P3HT-surfactant films was investigated. Figure 13 shows the WAXRD curves of films both before and after the annealing process. For films prepared from P3HT/SDBS dispersion, annealing at 150 °C increases the intensity of P3HT peaks, due to higher fraction of crystallinity. Moreover, two reflection peaks of SDBS combine to form a new peak at  $2\theta = 2.7^\circ$  after annealing. This may be due to the diminished crystallinity in the surfactant phase and resolution limitations on the instrument, causing the two peaks to appear combined. For films prepared from P3HT/SDS dispersion, SDS reflection peaks disappear after the annealing process, indicating that SDS has shifted from crystalline to amorphous. This phenomenon can be explained by the movement of SDS into P3HT phase under high temperature near its melting temperature ( $\sim 180^\circ\text{C}$ ). Once the surfactant is dissolved into the P3HT phase it can no longer crystallize, so no peaks are present. It is likely that the SDS dissolved into the amorphous parts of the semicrystalline P3HT because no lattice spacing change was present. If the surfactant were to intercalate the crystal, a shift of the peak to lower angle would be expected corresponding to a swollen lattice. Furthermore, the crystal would likely only accommodate some of the surfactant, so the peaks would not disappear completely.



**Figure 13. Effect of annealing process on WAXRD curves of films.**

To further investigate the limitations on diffusion of SDS into the P3HT and to confirm that it remains in the amorphous regions, a series of experiments on films with fixed amounts of P3HT and varying amounts of SDS were carried out. The components of materials used in the experiment are presented in Table 2.

**Table 2. Compositions of films for SDS migration experiment.**

Sample Number	Volume of Dispersion (ml)	P3HT Amount (mg)	SDS Amount (mg)	SDS/P3HT Ratio
1	1	0.37	3.40	9.2
2	0.25	0.37	0.74	2.0
3	0.125	0.37	0.37	1.0
4	0.125	0.37	0.28	0.76
5	0.05	0.37	0.15	0.4
6	0.05	0.37	0.11	0.3

The change of WAXD curves for films with different SDS/P3HT ratio corresponding to annealing time is presented in the Figures 14 to 19.

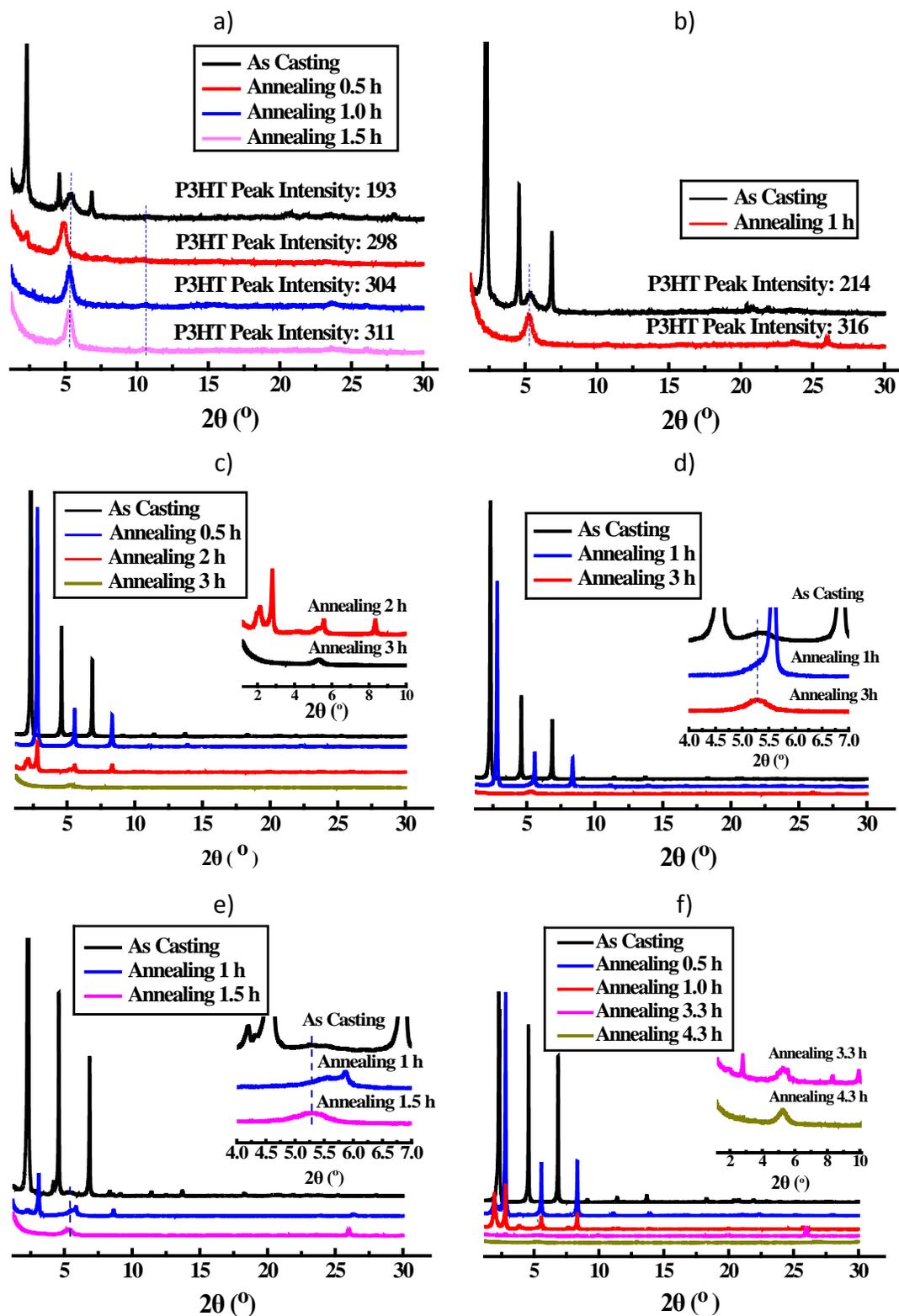
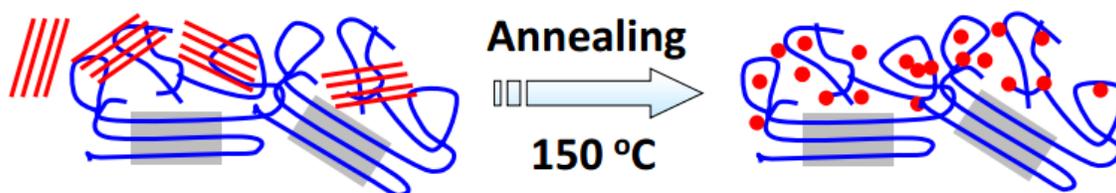


Figure 14. WAXRD for films with SDS/P3HT ratio of (a) 0.3 (b) 0.4 (c) 0.76 (d) 1.0 (e) 2.0 (f) 9.2

As shown in Figure 14a and 14b, when SDS/P3HT ratio is relatively low, SDS crystal peaks almost disappear after annealing 1 h. Furthermore, the intensity of P3HT peak gradually enhances with the increase of annealing time. As shown in Figure 14d, when SDS/P3HT ratio is relatively high, SDS crystal lattice first changes from A type to B type after annealing 0.5 h, indicating SDS aliphatic chains begin to intercalate, as illustrated in Figure 11c. Higher SDS/P3HT ratios require more time for SDS crystal peaks to disappear, as the larger amount of SDS fully diffuses into the P3HT phase. We conclude that SDS chains diffuse into P3HT amorphous region during annealing process, as these films can absorb a large amount of SDS and the location of P3HT peak does not change corresponding to change of SDS amount. A depiction of this chain diffusion theory during annealing process is presented in Figure 15.



**Figure 15. Schematic diagram of chain diffusion during annealing process.**

To further confirm the effect of P3HT on the SDS diffusion process during annealing, WAXRD crystal structures for pure SDS subjected to different annealing times are presented in Figure 16. Pure SDS exhibits both A-type and B-type crystal lattices. The same A-type to B-type conversion as in P3HT/SDS films is observed. Compared with the P3HT/SDS system, SDS crystal peaks do not disappear with increasing annealing time. This verifies the hypothesis that SDS diffuses into the P3HT phase during annealing and P3HT chains prevent the recrystallization of SDS. Interestingly, the presence of the benzene ring on the SDBS appears to prevent this same dissolution process.

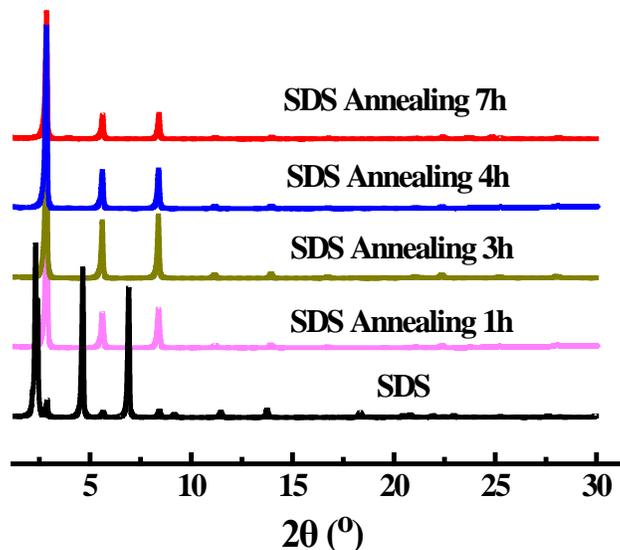
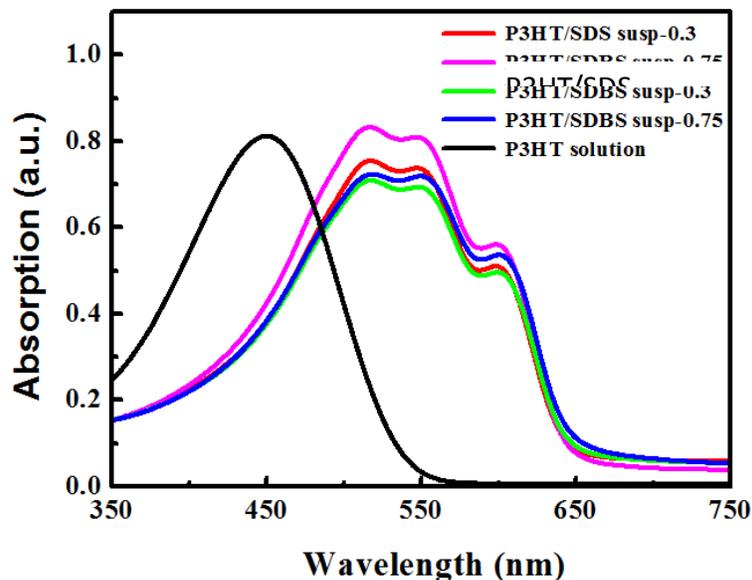


Figure 16. WAXRD curves of SDS.

### 3.4 UV-visible Absorbance Properties

To correlate the crystal structure and optical properties of P3HT colloids, both colloids and films coated from colloids were investigated by UV-vis spectroscopy. First, UV-vis spectra of colloidal dispersions were compared to P3HT as fully dissolved in chloroform, as shown in Figure 17. All colloids are significantly red-shifted compared to P3HT/chloroform solution. This phenomenon illustrates the ordering of the polymer chains as they aggregate into colloids (this can be supported by the crystal structures in colloids, as shown in Figure 9) and a longer effective conjugation length of chains in colloids [22]. It is easy to understand the red shift of dispersions from the macromolecular perspective. When P3HT is well dissolved in chloroform, the chains have a random coil conformation resulting in an amorphous state, low  $\pi$ - $\pi$  stacking strength and shorter conjugation length. For dispersions, however, P3HT chains are stiffened into a planarized conformation due to the repulsion of water and evaporation of the good solvent, chloroform. This planarization gives rise to H-aggregates and crystalline regions, and resulting in high  $\pi$ - $\pi$  packing strength and longer conjugation length in the backbone[23].



**Figure 17. UV-vis spectra of P3HT colloidal dispersion and chloroform solution, normalized to 350 nm for dispersions.**

From Figure 17, it is first noted that all the dispersions have similar spectra; however, the height of peaks for dispersions with SDS as surfactant is higher than dispersions with SDBS as surfactant. Further, the ratio of 0-0 (around 600 nm) to 0-1 (around 550 nm) vibrational peaks slightly increases with the increase of P3HT concentration in dispersion, and dispersions with SDBS as surfactant have higher 0-0/0-1 peak ratio, as shown in Table 3. According to Spano's model [23, 24], the ratio of 0-0 to 0-1 vibrational peaks can be employed to analyze the weakly interacting H-aggregates in a framework for excitonic coupling. Higher 0-0/0-1 peak ratio indicates a relatively lower degree of excitonic coupling, which corresponding to a longer conjugation length in extended  $\pi$ -system [24, 25]. Thus, dispersions with higher P3HT concentrations and SDBS as surfactant have a longer conjugation length. During the preparation process of colloids, higher P3HT concentration tends to increase the intensity of planarization, (when other factors such as volume ratio and surfactant amount are fixed) resulting in longer conjugation length. Compared to P3HT/SDS system, the SDBS surfactant can participate in  $\pi$ - $\pi$  stacking because there is an aromatic ring in its structure.

**Table 3. Vibrational peaks and peak ratios for colloidal dispersions.**

Surfactant	P3HT Concentration (wt%)	Wavelength (nm)			$A_{0-0}/A_{0-1}$
		0-0	0-1	0-2	
SDS	0.15	593	549	515	0.63
SDS	0.3	598	547	517	0.66
SDS	0.75	598	547	516	0.68
SDBS	0.3	598	548	517	0.69
SDBS	0.75	600	549	518	0.73

### 3.5 Properties of Films Coated from P3HT Colloids

From the previous discussion, we know that colloids in dispersion already have a certain degree of crystallinity, aggregates and conjugation length. However, these structures may be changed during the coating process from dispersion to final films. To further investigate this issue, a factorial experiment was designed.

Films were coated from P3HT colloids using a doctor blade coater, and the effects of coating processing parameters, P3HT concentrations, surfactant types as well as surface conditions were investigated. All the factors are presented in Table 4.

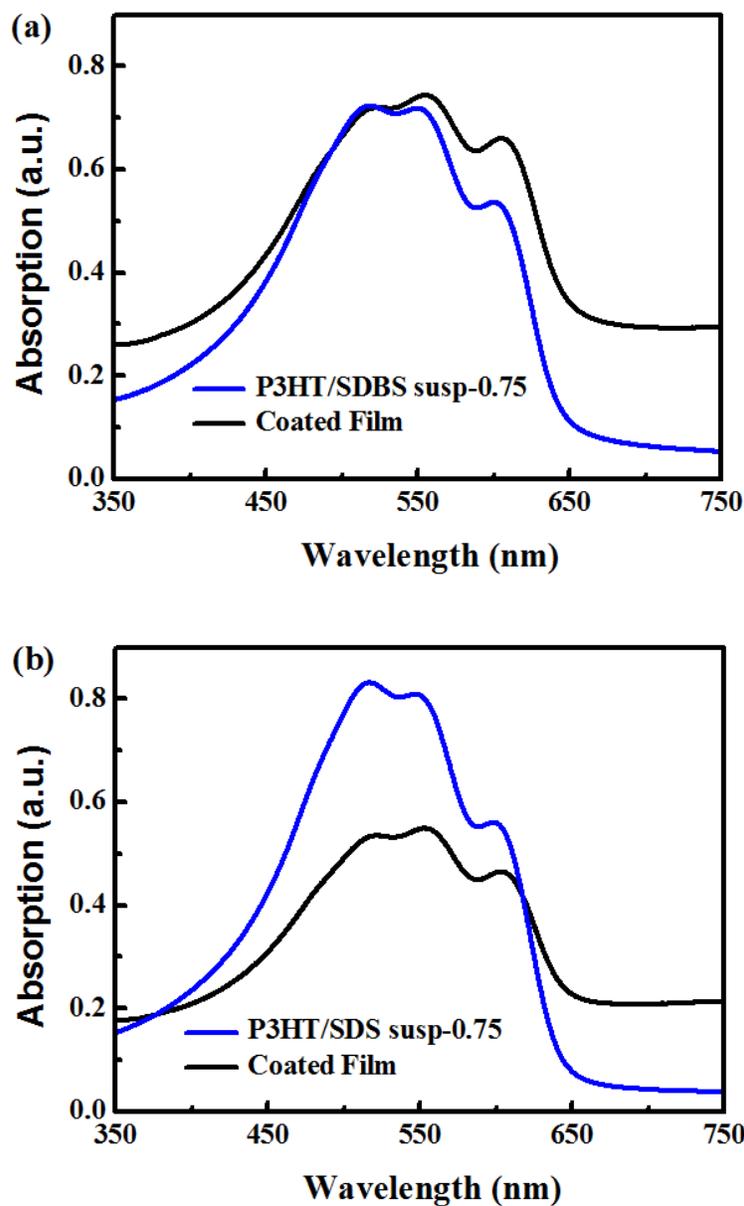
**Table 4. Factorial experiment matrix**

Sample Number	P3HT Concentration (wt%)	Coating Speed (mm/s)	Blade Height ( $\mu\text{m}$ )	Surfactant	Surface Condition
1	0.3	1	66.8	SDBS	Glass
2	0.3	10	66.8	SDBS	Glass
3	0.3	1	143	SDBS	Glass
4	0.3	10	143	SDBS	Glass
5	0.75	1	66.8	SDBS	Glass
6	0.75	10	66.8	SDBS	Glass
7	0.75	1	143	SDBS	Glass
8	0.75	10	143	SDBS	Glass

Sample Number	P3HT Concentration (wt%)	Coating Speed (mm/s)	Blade Height ( $\mu\text{m}$ )	Surfactant	Surface Condition
9	0.3	1	66.8	SDS	Glass
10	0.3	10	66.8	SDS	Glass
11	0.3	1	143	SDS	Glass
12	0.3	10	143	SDS	Glass
13	0.75	1	66.8	SDS	Glass
14	0.75	10	66.8	SDS	Glass
15	0.75	1	143	SDS	Glass
16	0.75	10	143	SDS	Glass
17	0.3	1	66.8	SDBS	PEDOT
18	0.3	10	66.8	SDBS	PEDOT
19	0.3	1	143	SDBS	PEDOT
20	0.3	10	143	SDBS	PEDOT
21	0.75	1	66.8	SDBS	PEDOT
22	0.75	10	66.8	SDBS	PEDOT
23	0.75	1	143	SDBS	PEDOT
24	0.75	10	143	SDBS	PEDOT
25	0.3	1	66.8	SDS	PEDOT
26	0.3	10	66.8	SDS	PEDOT
27	0.3	1	143	SDS	PEDOT
28	0.3	10	143	SDS	PEDOT
29	0.75	1	66.8	SDS	PEDOT
30	0.75	10	66.8	SDS	PEDOT
31	0.75	1	143	SDS	PEDOT
32	0.75	10	143	SDS	PEDOT

### 3.5.1 UV-visible spectroscopy properties of films

Figure 18 shows typical UV-vis spectra of dispersions and corresponding films. Both 0-0 and 0-1 vibrational peaks of films are red-shifted compared to corresponding dispersions, indicating the existence of more ordered chains and extended  $\pi$ - $\pi$  stacking in films. This phenomenon implies that the coating process tunes the inner structure of colloids, and additional planarization happens. Furthermore, the 0-2/0-1 peak ratio (0-2 designates the primary absorbance at  $\sim 520$  nm) of films decreases compared to the original dispersions, also indicating the aggregation and packing of colloids.



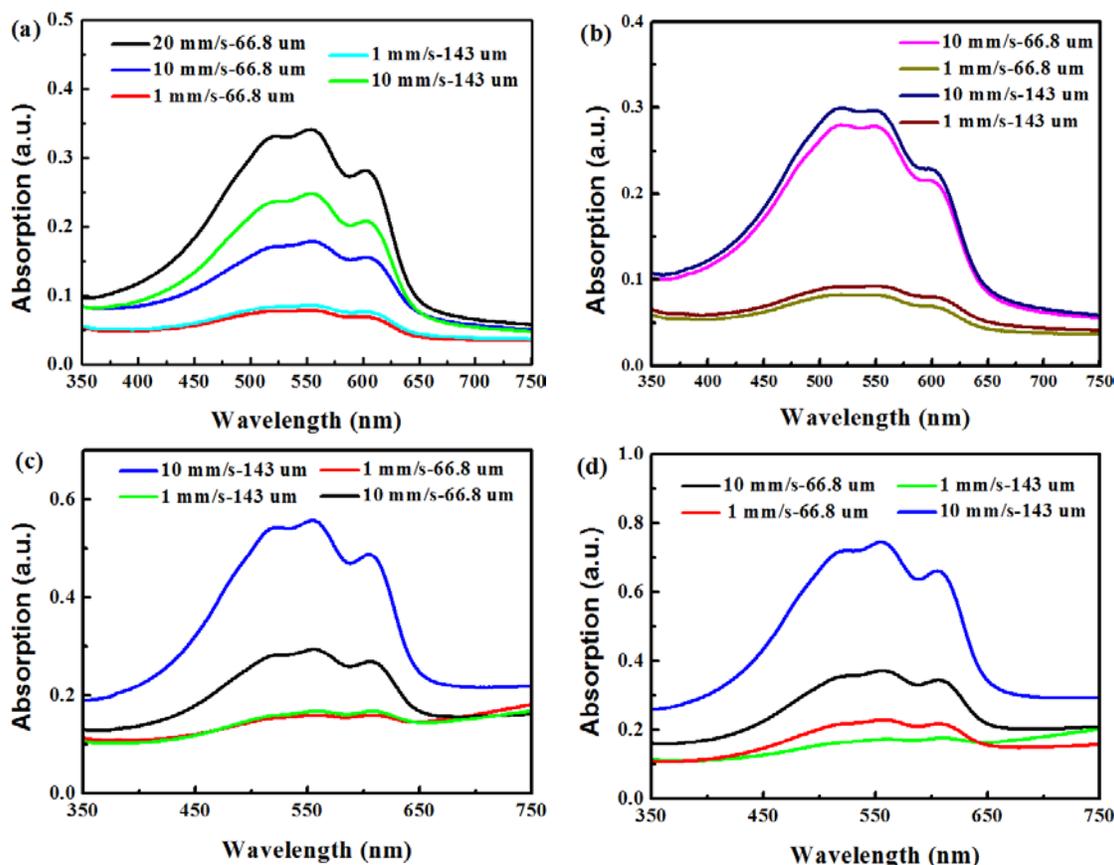
**Figure 18. UV-vis spectra of dispersions with P3HT concentration of 0.75 wt% and corresponding films coated on PEDOT: PSS: a) SDBS and b) SDS as surfactant.**

In addition, the 0-0/0-1 peak ratios for all the films are higher than for their original dispersions, as summarized in Table 5. This illustrates that coated films have a longer conjugation length than their original dispersions.

**Table 5. 0-0/0-1 Peak ratio of dispersions and films**

Surfactant	P3HT Concentration (wt%)	Dispersion	Film	
		$A_{0-0}/A_{0-1}$	$A_{0-0}/A_{0-1}$	$A_{0-0}/A_{0-1}$
			PEDOT	Glass
SDBS	0.3	0.66	0.91	0.79
SDBS	0.75	0.68	0.91	0.72
SDS	0.3	0.69	0.93	0.78
SDS	0.75	0.73	0.83	0.83

The effects of coating speed and blade height on absorbance properties of films are presented in Figure 19 and Figure 20. Figure 19 shows that the overall absorbance increases with the increase of coating speed and blade height, corresponding to increased film thickness. According to the Beer-Lambert law, the absorbance intensity is directly proportional to thickness which can be observed in the UV-vis spectra.



**Figure 19. Effects of coating speed and blade height on absorbance properties of films with SDBS as surfactant: a) and c) with P3HT concentration of 0.3 wt%, b and d) with P3HT concentration of 0.75 wt%; a) and b) coated on glass, c) and d) coated on PEDOT: PSS.**

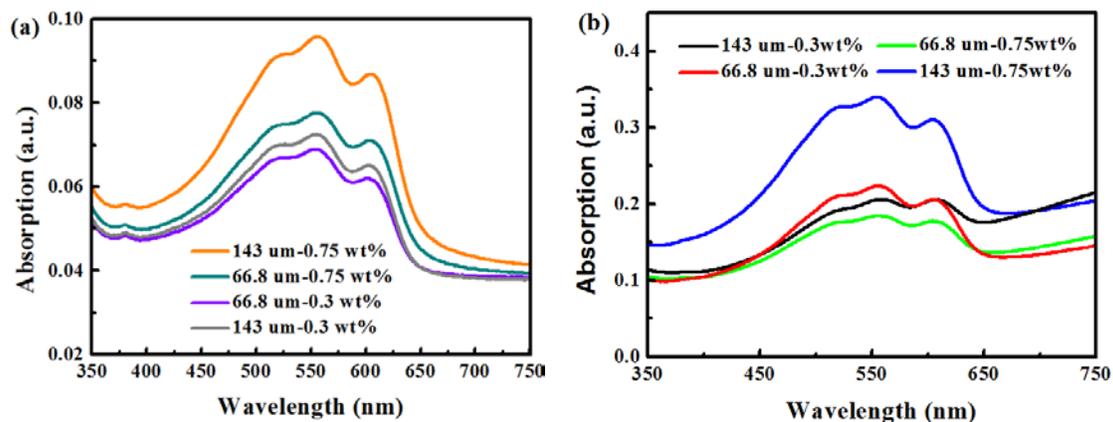


Figure 20. Effects of blade height on absorbance properties of films with a coating speed of 1 mm/s and SDS as surfactant: a) coated on glass b) coated on PEDOT: PSS.

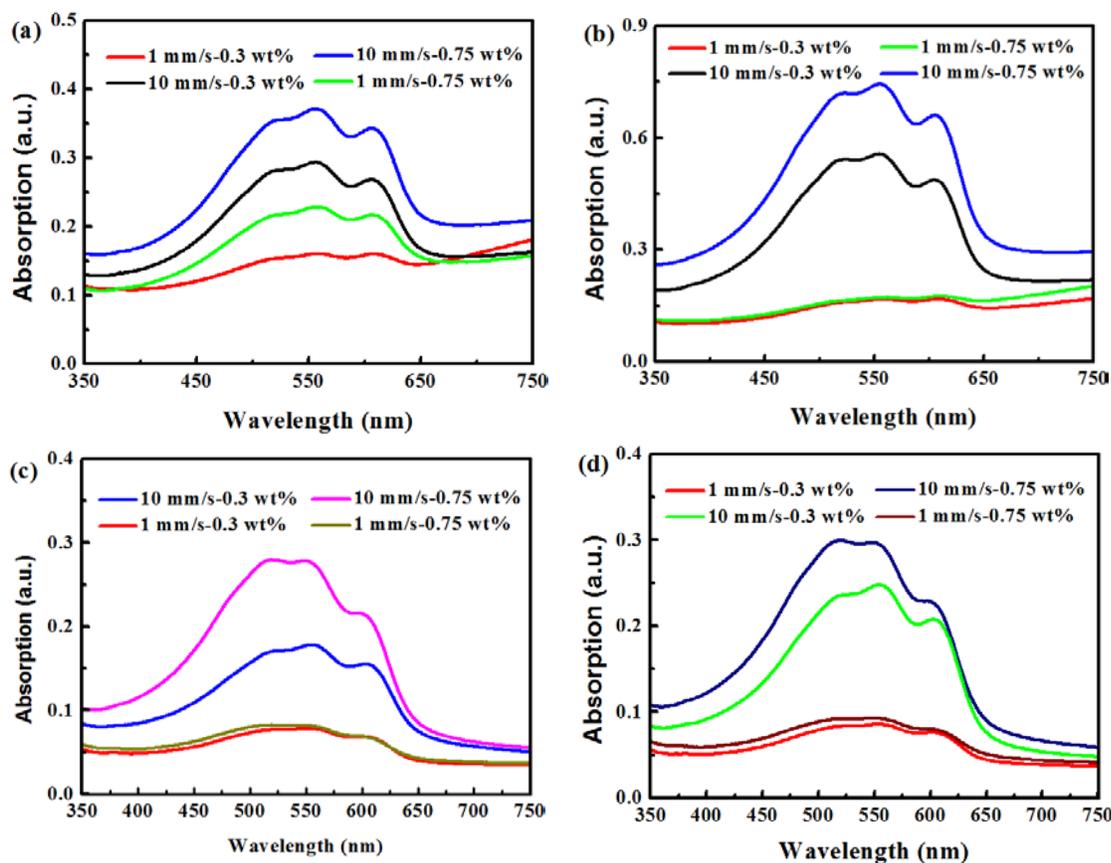


Figure 21. Effects of concentration and coating speed on absorbance properties of films with SDBS as surfactant: a) and b) coated on PEDOT: PSS, c) and d) coated on glass, a) and c) with blade height of 66.8  $\mu\text{m}$ , b) and d) with blade height of 143  $\mu\text{m}$ .

The effect of P3HT concentration on absorbance properties of films are presented in Figure 20 and Figure 21. From Figures 20 and 21 it can be seen that films coated from higher P3HT concentration have higher intensity peaks. This is also likely the result of thicker films.

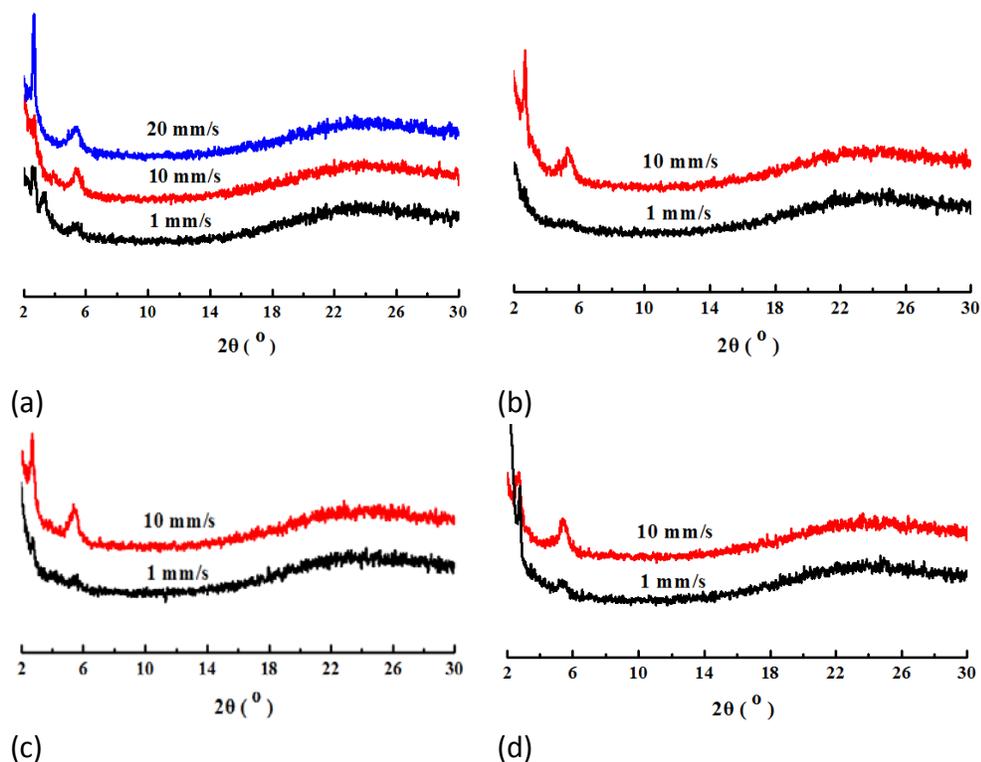
**Table 6. UV-vis spectra peaks of films.**

Coating Speed (mm/s)	Blade Height ( $\mu\text{m}$ )	Concentration (wt%)	Surfactant	PEDOT	Peak location			$A_{0-0}/A_{0-1}$
					0-0	0-1	0-2	
1	66.8	0.3	SDBS	PEDOT	608	556	521	1.00
10	66.8	0.3	SDBS	PEDOT	606	556	525	0.85
10	143	0.3	SDBS	PEDOT	605	554	523	0.81
1	143	0.3	SDBS	PEDOT	608	556	518	0.99
1	143	0.75	SDBS	PEDOT	608	562	521	1.05
10	143	0.75	SDBS	PEDOT	605	554	523	0.83
10	66.8	0.75	SDBS	PEDOT	606	556	522	0.87
1	66.8	0.75	SDBS	PEDOT	607	556	523	0.91
1	66.8	0.75	SDS	PEDOT	604	556	524	0.92
5	66.8	0.75	SDS	PEDOT	603	553	522	0.79
1	143	0.75	SDS	PEDOT	604	554	524	0.85
5	143	0.75	SDS	PEDOT	603	553	522	0.77
1	143	0.3	SDS	PEDOT	606	558	525	0.99
1	66.8	0.3	SDS	PEDOT	604	556	522	0.86
10	66.8	0.3	SDBS	Glass	603	554	523	0.82
1	66.8	0.3	SDBS	Glass	599	552	525	0.77
20	66.8	0.3	SDBS	Glass	602	554	523	0.79
10	143	0.3	SDBS	Glass	603	554	523	0.80
1	143	0.3	SDBS	Glass	601	552	522	0.79
1	66.8	0.75	SDBS	Glass	599	543	519	0.71
10	66.8	0.75	SDBS	Glass	602	549	519	0.71
10	143	0.75	SDBS	Glass	596	548	519	0.71
1	143	0.75	SDBS	Glass	605	551	522	0.74
1	66.8	0.75	SDS	Glass	604	557	527	0.83
1	143	0.75	SDS	Glass	604	557	521	0.84
1	66.8	0.3	SDS	Glass	601	552	527	0.77
1	143	0.3	SDS	Glass	603	552	526	0.79

The UV-vis spectral peaks of all the films and the 0-0/0-1 peak ratio are summarized in Table 6. From Tables 3, 5 and 6, it can be seen that films coated on PEDOT:PSS always have a higher 0-0/0-1 peak ratio, indicating longer conjugation lengths. This phenomenon implies that the existence of PEDOT:PSS influences the stacking and aggregates of P3HT colloids. The 0-0, 0-1 and 0-2 peaks have a red-shift for films as compared to colloids. Additionally, the effect of surfactant type on UV-vis spectra of films is not regular, but it appears that in general, the films with SDBS show larger 0-0/0-1 ratios, indicating greater conjugation.

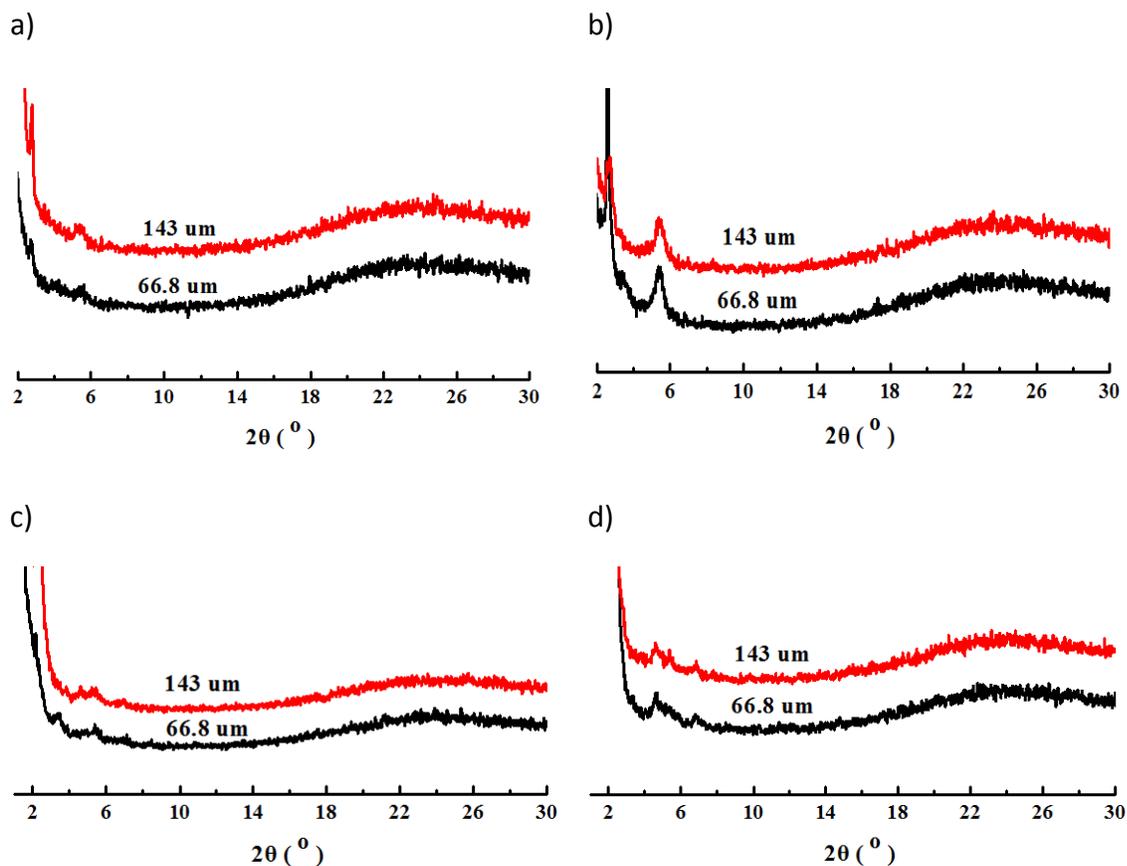
### 3.5.2 WAXD curves of films

The effect of coating speed on X-ray crystal structure of films is presented in Figure 22. It can be seen that higher coating speed usually results in higher intensity of crystal peaks, due to thicker films. The faster coating speed likely results in thicker films because the colloids do not have time to organize into a self-assembled monolayer on the substrate, so a disorganized “pile” of colloids is deposited. When the blade speed is controlled, the colloids can lay down in a single layer, constraining the thickness to one layer.

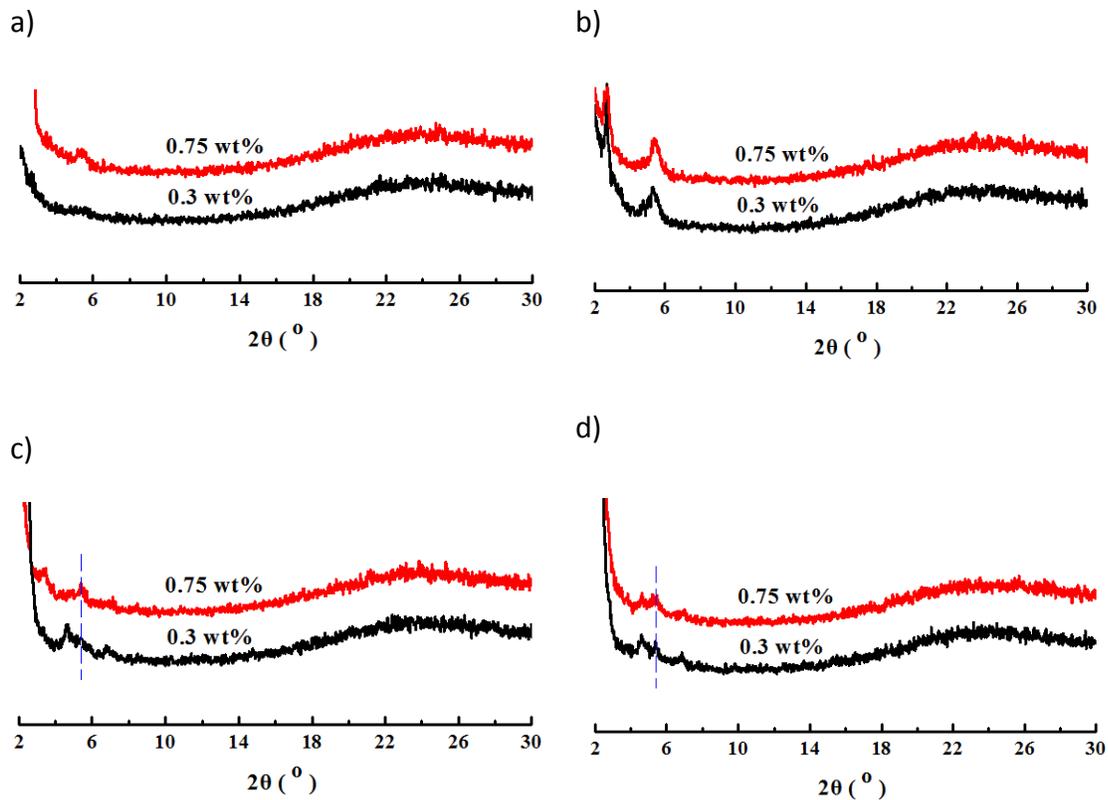


**Figure 22. Effect of coating speed on X-ray crystal structure of films coated from dispersions with SDBS as surfactant and P3HT concentration of a) and b) 0.3 wt%, c) and d) 0.75 wt%; a) and c) with blade height of 66.8  $\mu\text{m}$ , b) and d) with blade height of 143  $\mu\text{m}$ .**

The effect of blade height on X-ray crystal structure of films is presented in Figure 23. It can be seen that blade height only has a slight effect on intensity of P3HT crystal peak. Usually, higher blade height will result in slightly higher intensity of crystal peak, due to increased film thickness.



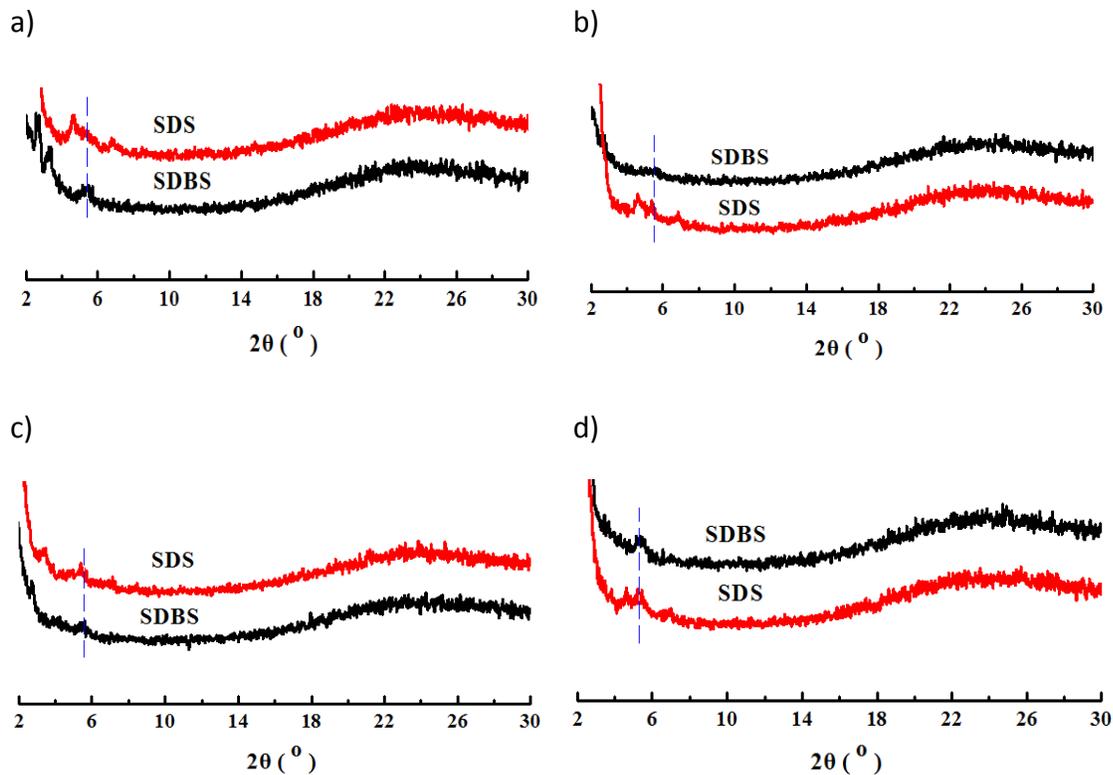
**Figure 23. Effect of blade height crystal structure of colloidal films with a) and b) SDBS and c) and d) SDS; P3HT concentration of a) and c) 0.3 wt%, b) and d) 0.75 wt%; a), c) and d) with coating speed of 1 mm/s, b) with coating speed of 10 mm/s.**



**Figure 24. Effect of P3HT concentration on crystal structure of colloidal films with a) and b) SDBS and c) and d) SDS; a), b) and d) with blade height of 143  $\mu\text{m}$ , c) with blade height of 66.8  $\mu\text{m}$ ; a), c) and d) with coating speed of 1 mm/s, b) with coating speed of 10 mm/s.**

The effect of P3HT concentration on X-ray crystal structure of films is presented in Figure 24. Higher P3HT concentration produces higher crystal peak intensity, because the colloids themselves are slightly larger as shown from the DLS data.

The effect of surfactant type on X-ray crystal structure of films is presented in Figure 25. It can be seen from Figure 25 that films with SDS as surfactant tends to have higher intensity of crystal peaks compared to films with SDBS as surfactant.



**Figure 25. Effect of surfactant type on X-ray crystal structure of films coated from dispersions with coating speed of 1 mm/s and with a) and b) 0.3 wt% P3HT, c) and d) 0.75 wt% P3HT; a) and c) blade height of 66.8  $\mu\text{m}$ , b) and d) blade height of 143  $\mu\text{m}$ .**

## 4. CONCLUSION

This project aimed to reduce the use of toxic chlorinated aromatic organic solvents in polymer electronics processing. In this research, poly(3-hexylthiophene) (P3HT) colloids dispersed in aqueous solutions were created using a mini-emulsion technique, attempting to replace the toxic chlorinated aromatic solvents for coating electronic polymers and tune the structure and properties of films. These colloidal dispersions provided a green coating process with no toxic vapor release to the atmosphere during film formation. These colloids were stable against aggregation for several weeks. Particle size of these colloids ranged from tens of nanometers to hundreds of nanometers, and could be tuned by controlling the initial solution concentration, type of solvents and surfactants as well as processing parameters, such as sonication time and solvent to water volume ratio. These colloids had a planarized conformation and ordered chains, which was further enhanced by the coating process, resulting in red-shifted absorbance peaks for coated films. Moreover, colloids with SDBS as surfactant had a longer conjugation length.

The effect of coating parameters on final crystal structure and optical absorbance of films was investigated. The results showed that coating speed had a significant influence on optical and crystal properties. Blade height, P3HT concentration, and surface preparation also significantly affected morphology. Generally, film thickness increased with higher coating speed, blade height and P3HT concentration. Additionally, films coated on PEDOT: PSS had a longer conjugation length.

Future work will focus on the electronic properties of the films and selection of surfactants that may have increased benefit to the structure and properties of the films. It is anticipated that these aqueous colloids will be suitable as a safer alternative to chlorinated aromatic solvents currently used to coat electronic polymers.

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